

FORM 82R: RIC Shareholder Disclosure

RE:

Beneficial Owner Name: _____

Tax ID: _____

Address: _____

- 1) Did the entity (Beneficial Owner Name) file or was eligible to file for a U.S. tax return as a RIC?
- 2) The last United States tax return Form _____ (*IRS Tax Return Form*), relating to the year _____ (*Tax Return year*) was filed with this office.

Declaration of Ownership as of _____ (*Last day of the previous fiscal year*)

		No. of Shares	Percentage
		(#)	(%)
1)	Total Number of share certificates issued by the RIC		100%
2)	Total Number of share certificates held by U.S. residents		
DIRECT - <i>**Shares NOT held through a broker or intermediary</i>			
3)	Total share certificates held directly by investors.		
4)	Total share certificates held directly by U.S. residents.		
INDIRECT - <i>**Shares held through a broker or intermediary</i>			
5)	Total share certificates held indirectly by investors.		
6)	Total share certificates held indirectly by U.S. residents. <i>(e.g., through brokers or other intermediary accounts; please attach an explanation of the basis for treating indirect shareholders as U.S. residents)</i>		

General remark: The term <<U.S. Residents>> means any person who is liable to tax by reason of domicile, residence, place of management, place of incorporation, or any similar nature. United States citizens or aliens lawfully admitted for permanent residence (green card holders) shall be considered to be a resident of the United States only if such person has a substantial presence, permanent home or habitual abode in the United States.

I / We certify that to the best of my knowledge the above beneficial owners are eligible for the preferential rates as stated herein and I declare that I have performed all the necessary due diligence to satisfy myself as to the accuracy of the information submitted to me by these beneficial owners.

***All claims are subject to audit or any control deemed appropriate by the Swiss Tax Administration. Incorrect claims could result in fines and/or penalties.*

We hereby certify that we have the appropriate authorization (based on Powers of Attorney or the custodial/trust agreement), to claim on behalf of the beneficial owner listed above, and these beneficial owners will not file a duplicate claim on these shares directly to the Swiss Federal Tax Administration.

Authorized Signature of Holder or Owner

PRINT NAME of Signatory

Position of Signatory

Telephone: _____

Email: _____

(Please include your phone and email address so That we may contact you with any questions)